



Robert A. Johnston Jr.

Partner

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Rob focuses his practice on the Foreign Corrupt Practices Act (FCPA), anti-money laundering (AML), trade sanctions compliance, regulatory counseling, due diligence, investigations, and compliance monitoring.

He has led investigations and compliance projects in over 30 countries across six continents on behalf of U.S. and multinational corporations. Rob has investigated alleged violations of AML, FCPA, OFAC, RICO, accounting fraud, securities fraud, procurement fraud, illegal gratuities, tax evasion, and employee embezzlement and misconduct. He regularly counsels clients on matters involving the U.S. Department of Justice, the U.S. Securities and Exchange Commission, the Hong Kong Securities and Futures Commissions, and other state and local regulatory bodies.

In addition to complex regulatory enforcement matters and internal investigations, clients turn to Rob for his knowledge of compliance program design and implementation. He provides clear, commercial, practical, and actionable compliance advice that balances business objectives and risk management. Furthermore, he has extensive experience with compliance monitoring, having advised a publicly traded financial institution through the timely resolution of a three-year monitor imposed by the DOJ and SEC; served as counsel to a World Bank-imposed monitor of a logistics company; and counseled a construction company as it agreed to the imposition of a World Bank-imposed monitor.

Prior to joining Lowenstein, Rob held several in-house legal and compliance roles (most recently, Managing Director and General Counsel-Asia and, previously, Deputy Chief Compliance Officer, of Sculptor Capital Management (formerly known as Och-Ziff)), one of the largest publicly traded institutional alternative asset managers in the world. During his time at Sculptor, he developed an industry-leading FCPA and AML compliance program through the development and implementation of numerous proprietary, web-based, technological solutions.

Before Sculptor Capital, Rob practiced securities litigation at two Am Law 100 firms, with a focus on internal investigations, white collar criminal defense, compliance advice, and M&A due diligence. He spent a year in the Shanghai office of one firm, where he acted on behalf of multinational corporations investigating allegations of bribery, corruption, and fraud in their Chinese and East Asian operations.

Rob's pro bono work includes advising a South American nation on its judiciary modernization efforts and on the implementation of newly enacted anti-money laundering laws similar to the U.S. Patriot Act.

Rob speaks French and Spanish.

NEWS & INSIGHTS

Publications

- > July 21, 2021
"1st FCPA Case Under Biden Offers Compliance Reminders," *Law360*
Robert A. Johnston Jr.
- > July 6, 2021
"Did You Just Buy an FCPA Problem? How to Design Post-M&A Compliance Integration and Audit Plans," *Corporate Compliance Insights*
Robert A. Johnston Jr.
- > May 14, 2021
"Competitor Recovers Damages From FCPA Violator in Post-Enforcement Civil Litigation," *White Collar Criminal Defense Client Alert*
Robert A. Johnston Jr.

SPEAKING ENGAGEMENTS

- > Speaker, **Interactive Benchmarking – Who's Doing What? How Industry is Updating Compliance, Training, and Monitoring Action Plans Post-Goldman Sachs, Airbus and More,** American Conference Institute, Webinar, June 2, 2021

EDUCATION

- > Fordham University School of Law (J.D. 2008); Dean's List; Archibald R. Murray Award for Public Service; Addison M. Metcalf Labor Law Prize; *Fordham International Law Journal*; President, Fordham Law School Gay and Lesbian Student Association; Vice President, Phi Alpha Delta
- > Georgetown University (B.A. 2002); Economics Honor Society; French Honor Society

ADMISSIONS

- > New York
- > U.S. District Court for the Southern District of New York
- > U.S. District Court for the Eastern District of New York