



## Louis K. Rothberg

Senior Counsel

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Louis has extensive experience with diverse international trade regulatory issues from his work at both federal regulatory agencies and major law firms.

He counsels U.S. and foreign entities on expediting global trade, exports control, and economic sanctions to ensure compliance with a wide variety of agencies, laws, and regulations such as the Office of Foreign Assets Control (OFAC); Committee on Foreign Investment in the United States (CFIUS); Export Administration Regulations (EAR); International Traffic and Arms Regulations (ITAR); antiboycott laws; customs regulations (19 CFR); Foreign Ownership, Control or Influence (FOCI); National Industrial Security Program Operating Manual (NISPOM); and anti-corruption compliance laws (e.g., Foreign Corrupt Practices Act [FCPA], UK Bribery Act).

Louis performs due diligence, auditing/corporate compliance, and security risk analysis and advises on international joint ventures, teaming agreements, and other collaborative arrangements.

Representative matters include:

- Advising companies on the CFIUS review process
- Preparing voluntary disclosures for U.S. and non-U.S. clients regarding OFAC economic sanctions violations, EAR, and ITAR export control violations
- Avoiding export control and economic sanctions successor liability exposure for clients in due diligence inquiries related to mergers and acquisitions
- Representing foreign companies in structuring startup U.S. business operations to comply with dual-use (EAR) export and U.S. munitions controls (ITAR)
- Conducting in-person and webinar training sessions on anti-corruption (FCPA, UKBA), economic sanctions (OFAC), and export controls (ITAR and EAR)

Louis has provided legal counsel to numerous government entities:

- U.S. Commerce Department and Office of Chief Counsel for Export Administration, where he provided counsel on interpretation and application of the Export Administration Act and Export Administration Regulations, Defense Production Act, and other laws and regulations applicable to the department's responsibility for export controls, enforcement of anti-boycott laws and regulations, conduct of industrial mobilization activities, and protection of the defense industrial base, in addition to representing the department in enforcement proceedings before an administrative law judge regarding regulatory violations
- Office of Deputy Assistant Secretary of the Army for Defense Export and Cooperation, where he advised on international cooperative agreements relating to Army and DoD acquisition programs with foreign ministries of defense and counseled senior Army officials and contractors on export control requirements
- U.S. Army Materiel Command (AMC), where he advised on foreign military sales (FMS), security assistance, and international cooperative programs
- U.S. Army Security Assistance Command (USASAC), where he advised on the Army's FMS programs.

Louis has taught courses on export law and economic sanctions at William & Mary Law School and for the District of Columbia Bar, in addition to the Judge Advocate General's Legal Center and School.

## NEWS & INSIGHTS

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### Publications

- > January 13, 2020  
**"U.S. Investment Firms' Stakes in Non-U.S. Companies Lead to Sanctions Violations and OFAC Penalties,"** *Global Trade & Policy Client Alert*  
Doreen M. Edelman, Louis K. Rothberg, Andrew Bisbas
- > August 30, 2019  
**"INSIGHT: U.S. Sanctions Against Venezuela Affect Any Company Doing Business There,"** *Bloomberg Law*  
Doreen M. Edelman, Louis K. Rothberg, Abbey E. Baker
- > August 8, 2019

**"What Artificial Intelligence Companies Must Know to Comply with New Export Policies,"** *Legaltech News*

Doreen M. Edelman, Louis K. Rothberg, Matt Savare

> June 17, 2019

**"Key Takeaways From Six Months Into New Mandatory CFIUS Pilot Program,"** *Global Trade & Policy Client Alert*

Doreen M. Edelman, Louis K. Rothberg

> June 13, 2019

**"More "Emerging Technologies" Now Require an Export Review,"** *Global Trade & Policy Client Alert*

Doreen M. Edelman, Louis K. Rothberg

## EDUCATION

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> Georgetown University Law Center (LL.M.1988)

> William & Mary Law School (J.D. 1975)

> Stony Brook University, The State University of New York (B.A. 1972), cum laude

## ADMISSIONS

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> District of Columbia

> Virginia